



GAU 1653
#4/A

33626 - 067252.0103
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

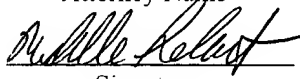
Applicant : Smith et al.
Serial No. : 09/611,419 Examiner: Unassigned
Filed : July 6, 2000 Group Art Unit: 1653
For : RECOMBINANT VACCINE AGAINST *BOTULINUM* NEUROTOXIN

RECEIVED
JAN 31 2001
TECH CENTER 1600/2800

PRELIMINARY AMENDMENT

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

January 23, 2001
Date of Deposit

Michelle M. LeCointe
Attorney Name

Signature

46,861
Registration No.
January 23, 2001
Date of Signature

Commissioner for Patents
Washington, D.C. 20221

Sir:

Applicants submit this Preliminary Amendment for U.S. Patent Appln. Ser. No.

09/611,419.